



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
09/980,1620	12/05/2001	MAKOTO OZEKI	1422-0507P

EXAMINER	
H. SHEIKH	
ART UNIT	PAPER NUMBER
1615	7

DATE MAILED:

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

- (1) HUMERA N. SHEIKH (3) BEN SCHROEDER  
(2) KISHORE GOLLAMUDI, PhD (4)

Date of Interview 3-19-03

Type: ☒ Telephonic ☐ Televideo Conference ☒ Personal (copy is given to ☐ applicant ☒ applicant's representative).

Exhibit shown or demonstration conducted: ☐ Yes ☒ No If yes, brief description:

Agreement ☐ was reached. ☒ was not reached.

Claim(s) discussed: Claims on record

Identification of prior art discussed: prior art on record

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: The effect of theanine on promoting or inducing sleep was discussed. The reference of Kakuda appears to be still applicable even to the method claims (102 rejr) since instant claims do not exclude theanine's antagonist effect on caffeine caused sleeplessness. Since the claims have been amended, the examiner will make the necessary rejections based on the amendments. Any further data which might be necessary will be discussed at a later date.  
(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

☒ It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary, A FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

Examiner Note: You must sign this form unless it is an attachment to another form.